

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

R0146B-REG

First Inventor

Zhao, Shu-Hai

Title

Aminoalkoxyindoles

Express Mail Label No.

ER 494673619 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

22 54 U.S. PTO
10/724683

120103

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **75**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
5. Oath or Declaration [Total Sheets **2**]
a. ☒ Newly executed (copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney Citations
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
Prior application information: Examiner _____

of prior application No: _____ / _____
Art Unit: _____

Claim for Benefit of Provisional Application(s):

This Application claims the benefit under title 35 U.S.C. 119(e) of U.S. Provisional Application No. 60/430,506, filed
December 3, 2002

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label**24372**

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Grant D. Green
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3431 Hillview Avenue**24372**

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Telephone

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Name (Print/Type)

Robert C. Hall ph. 650/ 354-7540

Registration No. (Attorney/Agent)

39,209

Signature

Date

December 1, 2003

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2003</h2> <p style="font-size: small; margin: 5px 0;">Effective 01/01/2003. Patent fees are subject to annual revision.</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">New Application</td> </tr> <tr> <td>Filing Date</td> <td>herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Zhao, Shu-Hai</td> </tr> <tr> <td>Examiner Name</td> <td>unassigned</td> </tr> <tr> <td>Art Unit</td> <td>unassigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>R0140B-REG</td> </tr> </table>		Application Number	New Application	Filing Date	herewith	First Named Inventor	Zhao, Shu-Hai	Examiner Name	unassigned	Art Unit	unassigned	Attorney Docket No.	R0140B-REG
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TOTAL AMOUNT OF PAYMENT (\$) 1,364.00															

<p>METHOD OF PAYMENT (check all that apply)</p> <p> <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None </p> <p><input checked="" type="checkbox"/> Deposit Account:</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <p>Deposit Account Number: 18-1700</p> <p>Deposit Account Name: Roche Palo Alto LLC 3431 Hillview Avenue Palo Alto, CA 94304</p> </div> <div style="width: 50%;"> <p>The Director is authorized to: (check all that apply)</p> <p> <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. </p> </div> </div>				<p>FEE CALCULATION (continued)</p> <p>3. 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<p>SUBMITTED BY</p> <p>Name (Print/Type): Robert C. Hall</p> <p>Signature: </p>				<p>Complete (if applicable)</p> <p>Registration No.: 39,209</p> <p>Telephone: 650/ 354-7540</p> <p>Date: December 1, 2003</p>			
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
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Docs